

## PART 1: CLAIMANT INFORMATION

### Claimant Information

A. Please provide the following information regarding the person who seeks to participate in this claims process ("claimant").

First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Business Name, if applicable

Mailing address, including apartment, unit or box number

City	State	Zip
<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN or Taxpayer ID Number	Date of Birth
<input type="text"/>	<input type="text"/> - <input type="text"/> - <input type="text"/>

Phone Number	Alternate Phone Number
<input type="text"/> - <input type="text"/> - <input type="text"/>	<input type="text"/> - <input type="text"/> - <input type="text"/>

Email Address (optional)

**B. Please attach a photocopy of two (2) forms of identification for the claimant. A list of Acceptable Forms of Identification can be found in your Claims Package at Attachment 5.**

C. Is Claimant:  Dead **OR**  Unable to submit a claim because of a disability If either, complete Submitter Information section below.

### Submitter Information

If you are submitting a claim for a claimant who is dead or unable to submit a claim due to a disability, please provide information regarding the claimant in the section above and also provide the following information regarding yourself here.

First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Business name, if applicable

Mailing address, including apartment, unit or box number

City	State	Zip
<input type="text"/>	<input type="text"/>	<input type="text"/>

SSN or Taxpayer ID Number	Date of Birth
<input type="text"/>	<input type="text"/> - <input type="text"/> - <input type="text"/>

Phone Number	Alternate Phone Number
<input type="text"/> - <input type="text"/> - <input type="text"/>	<input type="text"/> - <input type="text"/> - <input type="text"/>

Email Address (optional)

**PART 1: CLAIMANT INFORMATION (continued)**

1. Are you the claimant's Legal Representative?  Yes  No
2. If you are the claimant's representative, you must submit the Court Order appointing you as the claimant's Legal Representative or executor of the will, power of attorney documents, or other proof of guardianship.
3. If you are submitting a claim on behalf of a dead claimant, you must submit a copy of the death certificate and answer the following questions:
- a. Is the claimant's death certificate included with this Claim Form?  Yes  No
- b. If an estate exists for the claimant, please provide the Estate Taxpayer ID Number: 

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## PART 2: TIER SELECTION

There are three tiers, which are described immediately below: TIERS: 1(a), 1(b), and 2. You must select one.

### What can I get if I file a successful claim?

There are three tiers for payments. Each tier provides for a different payment amount and requires that you send in, along with this completed and signed Claim Form, a different amount or type of evidence to prove your claim.

#### Payment Awards

**TIER 1(a).** If you are successful under Tier 1(a), you will receive:

- A cash award of up to \$50,000, depending on the number of successful claims;
- Debt forgiveness from USDA for some or all of your eligible USDA/FSA Farm Loan Program debt; and
- A tax payment to the IRS of 25% of the total of the cash award and debt relief.

**TIER 1(b).** If you are successful under Tier 1(b), you will receive:

- A cash award for proven actual damages (that is, for the losses you can prove) of up to \$250,000; and
- Debt forgiveness from USDA for some or all of your eligible USDA/FSA Farm Loan Program debt.
- The USDA will not make any tax payment to the IRS on your behalf. You will have to pay the IRS yourself for any taxes due on your award.

**TIER 2.** If you are successful under Tier 2, you will receive:

- A \$50,000 cash award;
- Debt forgiveness from USDA for some or all of your eligible USDA/FSA Farm Loan Program debt; and
- A tax payment to the IRS of 25% of the total of the cash award and debt relief.

Go to Attachment 4 – DESCRIPTION OF TIERS – in your Claims Package for a detailed description of the Tiers.

### What documents must I send with this form?

Each tier requires that you submit a different set of documents proving your claim. You also must sign and send in a Settlement Agreement (Attachment 1) included in the Claims Package.

#### Tier 1(a) Required Documents:

Did you submit a written farm loan or loan servicing application to USDA? If so, you must submit:

- This Claim Form and the Settlement Agreement.

Did you try to apply for a USDA loan or loan servicing, but did not submit a written application to USDA? If so, you must submit:

- This Claim Form and the Settlement Agreement; **AND**
- A sworn, verified, or notarized written witness statement from someone who witnessed USDA's discrimination against you; **OR**
- A written complaint of discrimination that you or your representative filed with the USDA within one (1) year of when you tried to apply; **OR**
- A letter or other document from a non-family member to you, or from you to a non-family member, that was written and dated within one year of when you tried to apply for a loan and that shows that you tried to apply for a farm loan or loan servicing; that you had a farm business plan which included specific crops, equipment, and the general location of the acreage; and that a USDA official actively discouraged you from applying for the farm loan or loan servicing.

## PART 2: TIER SELECTION (continued)

### What documents must I send with this form? (continued)

#### **Tier 1(b) Required Documents:**

- This Claim Form and the Settlement Agreement; **AND**
- A copy of the farm loan or servicing application or other documents from USDA showing that you submitted the application; **AND**
- A copy of your discrimination complaint you sent to USDA or a copy of a document from USDA or another U.S. Government official showing that they received your discrimination complaint; **AND**
- The name of a farmer who is similarly situated to you, except that person is non-Hispanic White (if you are Hispanic/Latino) or male (if you are female); **AND**
- Documents showing any actual economic damages you suffered (that is, that you spent, lost or did not make money) because of USDA's treatment of your farm loan or loan servicing application.

#### **Tier 2 Required Documents:**

- This Claim Form and the Settlement Agreement; **AND**
- A copy of the farm loan or servicing application or other documents from USDA showing that you submitted the application; **AND**
- A copy of your discrimination complaint you sent to USDA or a copy of a document from USDA or another U.S. Government official document showing that USDA received your discrimination complaint.

### Please select your tier

**ONCE YOU SUBMIT THE CLAIM FORM, YOUR SELECTION IS FINAL AND CANNOT BE CHANGED.** However, persons who select Tier 2 and are not eligible for an award under Tier 2 will automatically be considered for an award under Tier 1(a).

- **I SELECT (Choose One):**  TIER 1(a) **OR**  TIER 1(b) **OR**  TIER 2.

## PART 3: CLAIM INFORMATION

**We need to know more about you and your farm to process your claim.**

### A. IDENTITY

1. Are you Hispanic/ Latino?  Yes  No
2. Are you female?  Yes  No

### B. WHEN DID YOU OPERATE, OR TRY TO OPERATE, A FARM?

1. If you are Hispanic/Latino or female, did you farm, or try to farm, between January 1, 1981, and December 31, 1996?  Yes  No
2. If you are Hispanic/Latino, did you farm, or try to farm, between October 13, 1998, and October 13, 2000?  Yes  No
3. If you are female, did you farm, or try to farm, between October 19, 1998 and October 19, 2000?  Yes  No
4. If you answered "Yes" to any part of Question B above, please describe your farming operation or your effort to farm, including the type and amount of crops and/or livestock. (Attach additional pages if necessary):

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### C. WHEN AND WHERE DID YOU OWN OR RENT, OR TRY TO OWN OR RENT, FARM LAND?

1. If you are Hispanic/Latino or female, did you own or rent, or try to own or rent, farm land between January 1, 1981, and December 31, 1996?  Yes  No
2. If you are Hispanic/Latino, did you own or rent, or try to own or rent, farm land between October 13, 1998, and October 13, 2000?  Yes  No
3. If you are female, did you own or rent, or try to own or rent, farm land between October 19, 1998, and October 19, 2000?  Yes  No
4. Please check each box that applies:  Owned  Tried to Own  Rented  Tried to Rent
5. If you answered "Yes" to any part of Question C above, please describe in detail the farm land that you owned or rented or tried to own or rent, including the a) location (the full address, the crossroads, and/or the legal description), b) the type of land, and c) the acreage. (Attach additional pages if necessary).

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6. If available, please provide any documentation showing that you owned or rented the farm land such as a deed, a lease, an easement, a purchase agreement, equipment receipts, or other proof. (Attach additional pages if necessary).

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**If you answered "No" to all the questions in Questions A, B & C above, you are not eligible for this claims process.**

## PART 3: CLAIM INFORMATION (continued)

### We need to know more about your application or attempts to apply.

#### D. DID YOU COMPLETE AND SUBMIT A LOAN APPLICATION TO USDA?

1. Did you complete and submit a written application to USDA for one or more farm loans or for farm loan servicing during any of the following time periods:
  - a. If you are Hispanic/Latino or female, between January 1, 1981, and December 31, 1996?  Yes  No
  - b. If you are Hispanic/Latino, between October 13, 1998, and October 13, 2000? **OR**  Yes  No
  - c. If you are female, between October 19, 1998, and October 19, 2000?  Yes  No
2. If you answered "Yes" to any part of Question D, did you withdraw the application before USDA made a decision on the application?  Yes  No
3. **TIER 1(b) and TIER 2 ONLY:** If you seek payment under Tier 1(b) or Tier 2, and you answered "Yes" to any part of Question D above, you must:
  - a. Attach a copy of the farm loan or loan servicing application or other documents from USDA showing that you submitted the application; **AND**
  - b. You must also attach a sworn statement, signed under the penalty of perjury, swearing that the document is authentic, and stating when you submitted it to USDA, or when you received it from USDA.

#### E. ATTEMPTED APPLICATION - TIER 1(a) ONLY

1. **If you seek payment under Tier 1(a) and you answered "No" to all parts of Question D**, did you try to submit a written application to USDA for one or more farm loans or for farm loan servicing during any of the following time periods:
  - a. If you are Hispanic/Latino or female, between January 1, 1981, and December 31, 1996?  Yes  No
  - b. If you are Hispanic/Latino, between October 13, 1998, and October 13, 2000? **OR**  Yes  No
  - c. If you are female, between October 19, 1998, and October 19, 2000?  Yes  No
2. For each time you tried to apply, please provide the following information:
  - a. The year(s) and month(s) you tried to apply;  
\_\_\_\_\_  
\_\_\_\_\_
  - b. How you planned to use the funds (i.e., identify crops, livestock, equipment, acreage, etc. that you would have farmed or purchased for your farm business);  
\_\_\_\_\_  
\_\_\_\_\_
  - c. The location of the FSA or Farmers Home Administration ("FmHA") county office where you sought the loan(s);  
\_\_\_\_\_  
\_\_\_\_\_
  - d. The name of any commercial or agricultural banks in the area that denied you a loan(s):  
\_\_\_\_\_  
\_\_\_\_\_

### PART 3: CLAIM INFORMATION (continued)

#### We need to know more about your application or attempts to apply.

e. When you tried to apply, did a USDA official state that:

- |  |                          |     |                          |    |
|--|--------------------------|-----|--------------------------|----|
| i. There were no funds available and no application would be provided;                 | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| ii. There were no application forms available;   | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| iii. USDA was not accepting or processing applications; OR                             | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| iv. You would not qualify for a loan or loan servicing and therefore should not apply? | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |

3. If you answered "Yes" to any of the subparts (i.) through (iv.) above, please describe in detail each incident, including when you asked for an application, and what USDA told you. (Attach additional pages if necessary):

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4. If you answered "Yes" to any of the subparts (i.) through (iv.) above, you must attach one of the following documents:

- A sworn, verified, or notarized written witness statement from someone who witnessed USDA's discrimination against you; **OR**
- A written complaint of the alleged discrimination that you or your representative filed with the USDA within one (1) year of when you tried to apply; **OR**
- A letter or other document from a non-family member to you, or from you to a non-family member, that was written and dated within one year of when you tried to apply for a loan and that shows that you tried to apply for a farm loan or loan servicing; that you had a farm business plan which included specific crops, livestock, equipment, and the general location of the acreage; and that the USDA official actively discouraged the application in the manner you describe above.

A complaint "filed with the USDA" includes a complaint sent to another person if you can show that the person who received the complaint forwarded it to USDA. The Claims Adjudicator will consider all available evidence, including statements made to you about forwarding the complaint to USDA and the way that government officials normally conduct business.

#### F. IN WHAT CAPACITY DID YOU APPLY?

1. If you applied or tried to apply for USDA farm loans or loan servicing, did you do so:

- |  |                          |     |                          |    |
|--|--------------------------|-----|--------------------------|----|
| a. For yourself (individually)?  | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| b. As a sole proprietor?   | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| c. On behalf of a corporation, business partnership, or other business entity? | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |
| i. If so, please state the form of business: _____                             |                          |     |                          |    |
| d. With a spouse or other person?  | <input type="checkbox"/> | Yes | <input type="checkbox"/> | No |



## PART 3: CLAIM INFORMATION (continued)

**We need to know more about the loan you were seeking and the potential discrimination.**

### G. WHAT TYPE OF LOAN(S) OR LOAN SERVICING DID YOU SEEK FROM USDA?

1. Identify the type of farm loan(s) that you requested or tried to request from USDA:

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Operating Loan (OL)      | <input type="checkbox"/> Soil and Water (SW) | <input type="checkbox"/> Economic Emergency (EE) |
| <input type="checkbox"/> Farm Ownership Loan (FO) | <input type="checkbox"/> Emergency Loan (EM) |  |

2. When did you apply or try to apply for the loan? (Attach additional pages if necessary).

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3. Where was the USDA office located? (Attach additional pages if necessary).

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4. Identify the type of loan servicing that you requested or tried to request from USDA.

- |  |   |
|--|---|
| <input type="checkbox"/> Consolidation         | <input type="checkbox"/> Deferral of payments |
| <input type="checkbox"/> Restructuring         | <input type="checkbox"/> Rescheduling         |
| <input type="checkbox"/> Other (explain below) |   |

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5. When did you apply or try to apply for the loan servicing? (Attach additional pages if necessary).

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6. Where was the USDA office located? (Attach additional pages if necessary).

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**PART 3: CLAIM INFORMATION (continued)**

**We need to know more about the loan you were seeking and the potential discrimination.**

**H. DID YOU MEET BASIC USDA LOAN ELIGIBILITY REQUIREMENTS?**

1. At the time you applied or tried to apply for a USDA farm loan:

a. Did you have prior experience owning a farm, running a farm, working on a farm, managing a farm business, or did you have farm training or education?  Yes  No

b. If you answered "YES" above, please describe your prior farm experience(s), training or education. (Attach additional pages if necessary).

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2. Were you: a citizen of the United States?  Yes  No or a legal resident alien?  Yes  No

a. If you were not a U.S. citizen or a legal resident alien, please explain your immigration status when you applied or tried to apply for the loan.

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3. Were you over 18 years old?  Yes  No

4. Were you delinquent or late on any federal debt?  Yes  No

5. Had you ever received debt forgiveness (write-down or write-off your loan) from USDA?  Yes  No

a. If you received debt forgiveness, please list all instances when USDA provided loan forgiveness or write-downs of the loans, except when your debt was paid off by USDA to resolve a discrimination claim by you. (Attach additional pages if necessary).

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6. Had you ever been convicted of planting, cultivating, growing, producing, harvesting, or storing an illegal substance (for example, marijuana or another drug) under federal or state law?  Yes  No

a. If you had been convicted of any such crime, please provide a brief explanation of what happened. (Attach additional pages if necessary).

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## PART 3: CLAIM INFORMATION (continued)

**We need to know more about the loan you were seeking and the potential discrimination.**

### I. WHAT WAS THE RESULT OF THE LOAN APPLICATION?

1. If you completed and submitted an application to USDA for one or more farm loans or for loan servicing, do any of the following apply?
  - a. Your application for a farm loan was denied.  Yes  No
  - b. You were approved for a loan amount that was less than you requested or was approved late.  Yes  No
  - c. The loan you were approved for had restrictive conditions.  Yes  No
  - d. USDA failed to provide appropriate loan servicing on your existing USDA loan.  Yes  No
2. If you answered "YES" to any subpart of Question I, please provide specific facts about USDA's response to the loan or loan servicing application. (Attach additional sheets if necessary.)  

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### J. DO YOU BELIEVE THAT USDA DISCRIMINATED AGAINST YOU?

1. Do you believe that USDA discriminated against you because you are Hispanic or Latino?  Yes  No
2. Do you believe that USDA discriminated against you because you are female?  Yes  No
3. **If you seek payment under Tier 2**, you must list specific facts showing that USDA's action was due to discrimination. Describe the reasons why you believe USDA discriminated against you, including anything USDA said or wrote to you or actions USDA took. (Attach additional pages if necessary.)  

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4. **For Tier 1(b) claimants only.** If you seek payment under Tier 1(b), do you believe that USDA treated a similarly situated non-Hispanic White farmer (if you are Hispanic), or a similarly situated male farmer (if you are female) better than you?  Yes  No

**PART 3: CLAIM INFORMATION (continued)**

**We need to know more about the loan you were seeking and the potential discrimination.**

**5. If you seek payment under Tier 1(b), please:**

- a. Identify the similarly situated farmer(s): \_\_\_\_\_
- b. Explain in detail how you were like that person with respect to your farm operation; **AND**  
\_\_\_\_\_  
\_\_\_\_\_
- c. Describe how USDA's treatment of your loan application was less favorable than the way USDA treated that farmer. You may meet this requirement with a signed statement based on personal knowledge from someone who is not your family member. (Attach additional pages if necessary.)  
\_\_\_\_\_  
\_\_\_\_\_

**K. DID YOU SUFFER ECONOMIC DAMAGE?**

1. Did USDA's treatment of the loan or loan servicing application(s) or of the attempt to apply, cause you economic damage (that is, to spend, lose or not make money)?  Yes  No

2. If you answered "YES" to Question K, please explain the type of economic damages that you suffered. (Attach additional sheets if necessary.)  
\_\_\_\_\_  
\_\_\_\_\_

3. **If you seek payment under Tier 1(b)**, please specify the amount of damages you suffered and the facts involved.  
\_\_\_\_\_  
\_\_\_\_\_

4. Please also list below any documents supporting the amount of economic damages you believe you suffered. Also, attach the documents to your Claim Form. To meet the documentation requirement for Tier 1(b), you may provide the written testimony of an expert witness that you hire to help support your damages claim.  
\_\_\_\_\_  
\_\_\_\_\_

**L. DID YOU FILE A DISCRIMINATION COMPLAINT WITH USDA?**

1. **If you seek payment under Tier 1(a) or Tier 2**, did you file a written discrimination complaint about your loan or loan servicing application with USDA or with a U.S. Government official during the period January 1, 1981, through June 30, 1997 or during the periods October 13, 1998 through October 13, 2000 (Hispanic farmers) or October 19, 1998 through October 19, 2000 (female farmers) regarding USDA's treatment of you in response to the application? This may include discrimination complaints that you filed yourself or that someone else filed for you.  Yes  No

2. If you answered "YES," state when you or your representative filed the complaint, which U.S. Government official or agency you filed it with, and the outcome (if any).  
\_\_\_\_\_  
\_\_\_\_\_

**PART 3: CLAIM INFORMATION (continued)**

**We need to know more about the loan you were seeking and the potential discrimination.**

3. **If you seek payment under Tier 2 and you answered "Yes" to Question L.1.,** you must attach a copy of the complaint or of a document from USDA or another U.S. Government official showing that they received your discrimination complaint.
4. **If you seek payment under Tier 1(b),** did you complain about discrimination to a U.S. Government official before July 1, 1997, or during the period of January 1, 1981, through June 30, 1997 or the periods October 13, 1998 through October 13, 2000 (Hispanic farmers) or October 19, 1998 through October 19, 2000 (female farmers) before July 1, 1997, about your loan or loan servicing application?  Yes  No
- a. If you answered "Yes" to this question:
- You must attach a copy of a written complaint that you sent to a U.S. Government official, or of a document from a U.S. Government official showing that they received your complaint; **OR**
  - You must attach a signed statement by someone who is not your family member who has personal knowledge about your discrimination complaint.

**M. HAVE YOU FILED A COMPLAINT IN COURT REGARDING USDA LOAN DISCRIMINATION?**

1. Have you filed a discrimination complaint against USDA in any court or tribunal regarding a loan application?  Yes  No
2. If you answered "YES," please provide the following information:
- a. Date of your court or tribunal complaint  -  -
- b. Name of court or tribunal
- c. Docket Number
- d. Has your complaint been heard and decided, adjudicated, resolved or dismissed?  Yes  No
- e. If available, please attach copies of your court complaint, any dismissal of that complaint, and/or any final decision regarding your complaint.

**N. HAS YOUR SPOUSE, OTHER FAMILY MEMBER, OR OTHER PERSON ON YOUR BEHALF SUBMITTED A CLAIM IN ANOTHER USDA SETTLEMENT PROGRAM?**

1. Has your spouse or any other family member, or any other person acting for you filed a claim with USDA or in a court or tribunal, or filed a claim in a settlement seeking relief for discrimination, concerning the same loan or loan service you are complaining about in this Claim Form?  Yes  No
2. If you answered "YES," please provide the case number, a copy of the claim if available, or any other information about that claim and any final decision on it. (Attach additional pages if necessary).

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**PART 4: ACKNOWLEDGEMENTS**

**Information you must understand and agree to.**

**In order to participate in this claims process, you must agree to the following requirements. After you review each requirement, please indicate whether you agree by checking the box to the right of it.**

A. Your claim(s) will be processed by the Administrator and will be decided by the Adjudicator, and their decisions will be binding and final. You waive the right to seek review of these determinations in any court or before any tribunal.  Yes, I agree.

B. In order to participate in the claims process, you must give up all claims of lending discrimination against USDA that arose during the time period covered by the claims process. If you are claiming discrimination based on being Hispanic, that time period is between January 1, 1981, and December 31, 1996, or between October 13, 1998, and October 13, 2000. If you are claiming discrimination based on being a woman, that time period is between January 1, 1981, and December 31, 1996, or between October 19, 1998, and October 19, 2000. A Settlement Agreement (Attachment 1) waiving such claims is included in the Claims Package. You must also dismiss with prejudice any claims you have filed against USDA in any court or administrative proceeding alleging the same discrimination as alleged in this Claim Form. This means that you must give up your complaint and will not be able to file another case based on the same claim(s) in any court or before any tribunal.  Yes, I agree.

C. If your claim is approved, you may receive a cash award of up to \$250,000, depending upon the Tier you choose. If you currently have debt with USDA/Farm Service Agency from the time period in Acknowledgment B (above), you may also be eligible for debt relief for part or all of that debt. Such relief may not cancel your remaining debts to USDA/FSA in full.  Yes, I agree.

D. If your claim is approved, an additional payment may be made on your behalf to the IRS to reduce the taxes you may owe on the cash award and any debt relief you receive, depending upon the Tier under which you seek to recover. This payment is not intended to completely pay off all taxes that you may owe as a result of a successful claim. It is your responsibility to pay any taxes that result from a successful claim.  Yes, I agree.

E. You must complete the Claim Form and provide all necessary documentation.  Yes, I agree.

F. If you are both Hispanic and female, you can file only one claim in this claims process. If your claim is approved, you can receive only one recovery through the claims process.  Yes, I agree.

G. If more than one claimant operates a single farm operation, only one claim can be filed, and only one recovery is available through the claims process.  Yes, I agree.

H. No claim will be accepted if you, your spouse, or anyone on your behalf participated in any of the following cases or settlements: *Pigford v. Glickman*, Nos. 97-1978, 98-1693 (D.D.C.) ("*Pigford*"); *In re Black Farmers Discrimination Litigation*, No. 08-0511 (D.D.C.) ("*Pigford II*"); *Keepseagle v. Vilsack*, No. 99-3119 (D.D.C.) ("*Keepseagle*").  Yes, I agree.

**PART 5: DECLARATION**

**Claimant's Declaration**

Pursuant to 28 U.S.C. § 1746, I swear under penalty of perjury that the information contained in the foregoing Claim Form is true and correct, and that any documents submitted along with the Claim Form are true and accurate copies of such documents.

By signing this Claim Form, I certify that:

1. The number shown on this Claim Form is the claimant's correct Social Security Number or Taxpayer Identification Number, **AND**
2. The claimant is NOT subject to backup withholding because: (a) the claimant is exempt from backup withholding, or (b) the claimant has not been notified by the Internal Revenue Service (IRS) that the claimant is subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the claimant that he or she is no longer subject to backup withholding; **AND**
3. The claimant is a U.S. citizen or other U.S. person.

**Note:** You must cross out item 2 above, if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

Signature of Claimant/Submitter

Signed:   -   -    
 MM DD YY

**Attorney's Declaration**

If the claim is filed by an attorney on behalf of a claimant:

I swear under penalty of perjury, that to the best of my knowledge, information, and belief formed after an inquiry reasonable under the circumstances, the claim is supported by existing law, and the factual contentions have evidentiary support.

Signature of Attorney

Today's Date:   -   -    
 MM DD YY

Attorney First Name  MI  Last Name

Firm Name (if applicable)

Mailing Address

City  State  Zip Code

Phone Number  -  -  Alternate Phone Number  -  -

Email Address (optional)